

FORM PTO-1390 DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  
(REV 11-2000)

ATTORNEY'S DOCKET NO

851663.431USPC

U S APPLICATION NO (If known, see 37 CFR 1.5)

Unknown

09/914170

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.

25 February 1999

INTERNATIONAL FILING DATE

PCT/SG99/00013

PRIORITY DATE CLAIMED

25 February 1999

TITLE OF INVENTION

**A METHOD AND APPARATUS FOR CONTROLLING A DISK DRIVE UNDER A POWER LOSS CONDITION**

APPLICANT(S) FOR DO/EO/US

**KRISHNAMOORTHY, Ravishanker and FONG, Hin Sing**

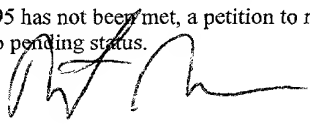
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4)
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items of information:

518 Rec'd PCT/PTO 24 AUG 2001

U.S. APPLICATION NO (If known, see 37 CFR 1.5) <b>Unknown 09/914170</b>		INTERNATIONAL APPLICATION NO. <b>PCT/SG99/00013</b>		ATTORNEY'S DOCKET NUMBER <b>851663.431USPC</b>																															
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b>  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>				<b>CALCULATIONS</b> PTO USE ONLY																															
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$860.00																															
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Claims</th> <th style="width: 20%;">Number Filed</th> <th style="width: 20%;">Number Extra</th> <th style="width: 20%;">Rate</th> <th style="width: 20%;"></th> <th style="width: 20%;"></th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>10 - 20 =</td> <td></td> <td>x \$ 18.00</td> <td></td> <td>\$130.00</td> </tr> <tr> <td>Independent Claims</td> <td>4 - 3 =</td> <td>1</td> <td>x \$ 80.00</td> <td></td> <td>\$80.00</td> </tr> <tr> <td>Multiple dependent claim(s) (if applicable)</td> <td></td> <td></td> <td>+ \$270.00</td> <td></td> <td>\$00.00</td> </tr> <tr> <td colspan="5" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td>\$1070.00</td> </tr> </tbody> </table>				Claims	Number Filed	Number Extra	Rate			Total Claims	10 - 20 =		x \$ 18.00		\$130.00	Independent Claims	4 - 3 =	1	x \$ 80.00		\$80.00	Multiple dependent claim(s) (if applicable)			+ \$270.00		\$00.00	<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$1070.00		
Claims	Number Filed	Number Extra	Rate																																
Total Claims	10 - 20 =		x \$ 18.00		\$130.00																														
Independent Claims	4 - 3 =	1	x \$ 80.00		\$80.00																														
Multiple dependent claim(s) (if applicable)			+ \$270.00		\$00.00																														
<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$1070.00																														
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00																															
<b>SUBTOTAL =</b>				<b>\$1070.00</b>																															
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$00.00																															
<b>TOTAL NATIONAL FEE =</b>				<b>\$1070.00</b>																															
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$00.00																															
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1070.00</b>																															
				Amount to be refunded																															
				charged																															
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1070.00</u> cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>19-1090</b> . d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																			
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																																			
SEND ALL CORRESPONDENCE TO:  Robert Iannucci Seed Intellectual Property Law Group PLLC 701 5 <sup>th</sup> Avenue, Suite 6300 Seattle, WA 98104-7092 United States of America (206) 622-4900																																			
				 SIGNATURE																															
				<u>Robert Iannucci</u> NAME																															
				<u>33,514</u> REGISTRATION NUMBER																															

- 1 -

## A METHOD AND APPARATUS FOR CONTROLLING A DISK DRIVE UNDER A POWER LOSS CONDITION

### Field of the Invention

- 5 This invention relates to a control system and method which is applicable for use in data storage disk drives and the like, particularly when a loss of supply power occurs during operation.

### Background

- 10 A typical data storage disk drive comprises at least one disk on which data is stored in magnetic or optical form, a head mounted on an arm to read/write from the disk surface and necessary control circuits. The disk is rotated at a constant velocity by a spindle motor and the arm is moved over the disk surface to access different locations on the disk surface by a voice coil motor (VCM). Upon power failure, in order to avoid physical damage to the disk  
15 storage surface as well as the read/write heads, the read/write head assembly should be positioned away from the disk data storage surface (referred to as parking the arm). Under power failure conditions the energy required to drive the voice coil motor may have to be derived from a secondary source, and in this case energy remaining in the spindle motor can be used by the disk drive circuits to operate the voice coil motor to park the arm.

20

### Summary of the Invention

- In accordance with the present invention, there is provided a method for controlling a motorized mechanism in the event of external power loss, the motorized mechanism comprising first and second motors coupled to a common driving circuit, said first motor  
25 being arranged to rotate at a substantially constant rate with external electrical power applied to the driving circuit, wherein in the event of loss of said external electrical power to the driving circuit, the driving circuit is controlled so as to connect and disconnect the first and second motors to the driving circuit in substantially out-of-phase synchronism to enable said second motor to be driven with electrical power derived from back-emf of the rotating first  
30 motor.

- 2 -

Preferably, the motorized mechanism comprises a driving mechanism for a disk drive or the like, wherein the first motor is a spindle motor and the second motor is a read/write head positioning motor.

- 5 The present invention also provides a method for use in a disk drive having a spindle motor for rotating a data storage disk and a head positioning motor for positioning a read/write head, the spindle motor and positioning motor being coupled to be driven from an external power source by way of a driving circuit, the method being for controlling the motors in the event of loss of said external power source during rotation of the spindle motor wherein the  
10 spindle motor and positioning motor are switched on and off from the driving circuit substantially in out-of-phase synchronism to enable said positioning motor to be driven with electrical power derived from back-emf of the rotating spindle motor.

The present invention also provides a method for controlling a disk drive having a spindle  
15 motor and a positioning motor both coupled to a driving circuit, comprising the steps of:

detecting a loss of supply power to the driving circuit;

chopping connection between the spindle motor and the driving circuit to generate an intermittent back-emf derived recirculation current; and

- chopping connection between the positioning motor and driving circuit at least  
20 substantially synchronized out-of-phase with the chopping of the spindle motor connection to enable driving of the positioning motor using the recirculation current.

The present invention further provides a disk drive or the like having a spindle motor for rotatably driving a spindle and/or disk, a positioning motor for positioning a read and/or  
25 write head, and a motor driving circuit coupled to controllably drive the spindle motor and positioning motor under normal operation using an external power supply, the motor driving circuit including a controller adapted to respond to loss of said external power supply by chopping connection between the driving circuit and the spindle and positioning motors respectfully in a substantially synchronised out-of-phase manner to enable driving of the  
30 positioning motor with a recirculation current derived from a back-emf of the spindle motor.

- 3 -

In a preferred form of the invention, the driving circuit has upper and lower supply rails coupled to receive the external power supply under normal operation, and wherein the driving circuit includes a storage capacitor and a voltage clamp coupled to the upper supply rail.

- 5 Preferably the spindle motor is coupled to the upper and lower supply rails of the driving circuit by way of a plurality of respective upper and lower semiconductor switching elements having parallel diode elements, and wherein chopping of the spindle motor corresponds to alternately switching on and off the lower switching elements to connect the spindle motor to the lower supply rail, wherein switching off the lower switching elements allows back-emf  
10 derived from the spindle motor to generate a recirculation current through the upper switching elements to the upper supply rail.

Preferably the positioning motor is coupled to the upper and lower supply rails of the driving circuit by pairs of upper and lower semiconductor switching elements, and wherein chopping  
15 of the positioning motor corresponds to switching on and off a selected one of the pairs of switching elements to connect and disconnect the positioning motor to the upper and lower supply rails to selectively drive the positioning motor with said recirculating current.

#### Brief Description of the Drawings

- 20 The invention is described in greater detail hereinbelow, by way of example only, through description of a preferred embodiment thereof and with reference to the accompanying drawings in which:

Figure 1 is a circuit diagram of spindle motor and voice coil motor driving circuits under power loss condition;

- 25 Figure 2 is a simplified circuit diagram of the spindle and VCM circuits of Figure 1;

Figure 3 is a waveform timing diagram for voltages and currents in the spindle and VCM circuits when controlled according to a preferred embodiment of the present invention; and

- Figure 4 is a block diagram representation of a circuit according to an embodiment  
30 of the invention.

- 4 -

Detailed Description of Preferred Embodiments

A typical data storage disk drive, such as those known as hard disks, have one motor to drive a spindle for rotating the disk and another motor (sometimes called a voice coil motor or VCM) for positioning the read/write mechanism. When the disk drive is not in use it is desirable that the read/write head be positioned away from the data storage surface of the disk (to a parked position), to avoid contact between the head and disk surface which can cause damage. Thus, if a failure in the supply of electrical power to the disk drive occurs, it is desirable to move the read/write head to the parked position. The difficulty with achieving that aim lies in obtaining power with which to drive the voice coil motor for positioning the head mechanism.

One solution to the problem of obtaining the required electrical power for parking the head in a power supply failure is to provide the disk drive with a battery or capacitive power storage sufficient to power the VCM for the necessary operations. However, that solution can disadvantageously increase the construction costs of the disk drive. Another solution relies on the rotational energy stored in the spindle motor and the associated spindle and disk to which it is coupled. In that case, when the power supply fails the back emf (BEMF) of the spindle motor is harnessed to provide electrical power for operating the VCM. The maximum current that can be delivered by the BEMF in the spindle motor is limited by the motor parameters and the circuit elements. Further, the voltage supplied will drop to an unacceptably low voltage for the circuits to operate if the current required for the circuits is high.

A circuit diagram of a disk drive spindle and VCM motors and their driving circuits is shown at 10 in Figure 1. The circuit 10 includes a spindle motor (12) which is coupled to be driven by a spindle driving circuit 14. The circuit 10 also includes a voice coil motor (VCM) 20 which is coupled to be driven by a VCM driving circuit 22. The spindle driving circuit 14 includes upper drivers 16 coupled between the spindle motor terminals and the upper power supply rail, and lower drivers 18 coupled between the spindle motor terminals and the lower power supply rail. The upper and lower spindle drivers 16, 18 are each arranged in three

- 5 -

phases (phaseA, phaseB and phaseC) for controlling the spindle motor, which is driven at a constant speed during operation. The upper and lower spindle drivers for each phase comprise an output power MOS switch with intrinsic body diode, and these switches are controlled, in use, by means of a pulse width modulation (PWM) controller or the like in 5 known fashion. The controlling circuitry for the spindle motor and VCM driving circuits is omitted from the Figure in the interests of clarity.

The VCM driving circuit 22 also has upper drivers (24) and lower drivers (26) coupled between the VCM and upper and lower supply rails, respectively. The VCM driving circuit 10 as shown includes two phases coupled to terminals of the VCM labelled *outP* and *outQ*, and each phase of the upper and lower VCM drivers comprises an output power MOS of similar construction to the spindle motor circuit.

Also coupled to the upper supply rail (labelled *Vpwr*) in circuit 10 is a storage capacitor 28 15 and a voltage clamp 30. The storage capacitor 28 is provided to enable transfer of BEMF energy from the spindle motor to the storage capacitor for powering the VCM. The boosted storage capacitor voltage is limited by the clamp circuit 30 to protect the other circuits from being damaged by a high voltage.

20 A simplified circuit diagram of the spindle, VCM and respective driving circuits is shown in Figure 2. The naming conventions for the elements of Figure 2 is given below.

	<i>Vbemf-sp</i> .....	BEMF in the spindle motor.
	<i>Vbemf_vc</i> .....	BEMF in the Voice Coil Motor (VCM).
25	<i>Rm_sp</i> .....	Spindle motor resistance.
	<i>Rm_vc</i> .....	Voice Coil Motor resistance.
	<i>Rbody_dio_sp</i> .....	Resistance of the body diodes of spindle driver MOS transistors.
	<i>Rds_on_vc</i> .....	Total ON resistance of the VCM driver MOS transistors.
	<i>Rsns_vc</i> .....	Sense resistor for the Voice Coil Motor.
30	<i>Vpwr</i> .....	Power supply voltage for the circuits.

- 6 -

Writing a Kirchoff loop equation for the circuit as shown in Figure 2 results in:

$$I_{\max} = \frac{(V_{bemf\_sp} + V_{bemf\_vc})}{R_{m\_sp} + R_{body\_dia\_sp} + R_{ds\_on\_vc} + R_{jns\_vc} + R_{m\_vc}}$$

The corresponding voltage at  $V_{pwr}$  will be:

$$V_{pwr} = V_{bemf\_sp} - I_{\max} \cdot (R_{m\_sp} + R_{body\_dia\_sp})$$

From the above equation it is clear that for a higher value of  $I_{\max}$ , the  $V_{pwr}$  voltage will necessarily be lower. In order to overcome that limitation and obtain a suitable solution to the difficulties described above, three potential strategies arise, as summarised below.

1. Drive the VCM with the maximum possible current until  $V_{pwr}$  drops below a critical voltage for the circuits to operate, eg. 3V. However, this mode of operation places constraints on the design and reuse of the circuits that are operational under 12V.
  2. Use a very large storage capacitor 28 at  $V_{pwr}$  to supply the entire energy for the circuits to operate under no power condition. The energy is stored in the capacitor when supply power is available. This increases the solution cost.
  3. Step up the voltage available at  $V_{pwr}$  by chopping of the spindle motor back emf.
- 15 Consider strategy 3, to step up the  $V_{pwr}$  voltage by chopping the spindle motor BEMF. Conventional methods develop a current in the spindle motor by shorting the terminals of the motor, which is equivalent to applying the spindle BEMF voltage across the motor resistance. When the short is released, the motor current recirculates through the body diodes of the output drive MOS transistors 16, 18. The recirculation current is dumped into the storage capacitor at the power supply  $V_{pwr}$ , boosting the voltage. This process of shorting and releasing is repeated at regular intervals to replenish charge in the storage capacitor. The circuits are protected from being damaged by a high voltage using the voltage clamp 30, which dissipates the excess energy from recirculation. This solution requires a smaller capacitor than strategy 2, but is still subject to three limitations as follows.



- 7 -

- a. The size of the storage capacitor must increase as the peak current required by the load circuits increases. This increases the cost of the solution.
- b. The efficiency of use of the spindle motor energy is low, since the energy dissipated in the clamp is unused.
- 5 c. The clamp circuit must be able to sustain the power dissipated within itself without getting damaged by the heat generated.

In order to reduce or overcome these drawbacks for an improved solution, a preferred embodiment of the present invention provides that the VCM is also driven in a chopped  
 10 manner and that the OFF time of spindle chopping is synchronized with the ON time of the VCM. In other words, the VCM is turned ON when the spindle starts to recirculate, such that the recirculation energy is directly transferred to the VCM. A key advantage to that arrangement is that, by controlling the amount of current developed in the spindle in excess of the current required by the load, the amount of energy dumped into the capacitor and the  
 15 clamp is controlled.

A waveform diagram of the synchronized chopping of the spindle motor and the VCM is shown in Figure 3. The first trace named *spindle* indicates the state of the spindle motor output drivers. Where the *spindle* trace shows "on" this indicates that the lower drivers 18  
 20 are turned on, resulting in build-up of the spindle motor current. The variation of the spindle motor current is indicated by the waveform labelled *Im\_sp* in the trace labelled *Imotor*. Where the spindle trace shows "highZ" (meaning high impedance) this indicates that the output drivers are off which results in recirculation of the spindle motor current through the body diodes of the spindle output driver MOS transistors (16, 18). The second trace in  
 25 Figure 3, labelled *VCM* shows the state of the VCM output drivers 24, 26. Where the *VCM* trace shows "on" this indicates one of the following two states. First, current is flowing from node *outP* to node *outQ* (see Figure 1) when the upper driver of *outP* and lower driver of *outQ* are turned on. Second, current is flowing from node *outQ* to node *outP* (see Figure 1) when the upper driver of *outQ* and lower driver of *outP* are turned on. The corresponding  
 30 current waveform in the VCM is indicated at *Im\_vc* in the *Imotor* trace in Figure 3. The

- 8 -

fourth trace in Figure 3, labelled as  $I_{cap} + I_{clamp}$  shows the current in the storage capacitor 28 and voltage clamp 30 at the power supply rail  $V_{pwr}$ .

In the  $I_{cap} + I_{clamp}$  trace, the waveform portion indicated at 32 corresponds to recirculation current delivered by the spindle motor. The next succeeding waveform portion indicated at 34 corresponds to current supplied to drive the VCM by the storage capacitor 28. Finally, the waveform portion indicated at 36 in the  $I_{cap} + I_{clamp}$  trace corresponds to recirculation current of the voice coil motor itself. From this graph it becomes apparent that the proposed system of controlling the spindle and VCM drivers in these circumstances is advantageous, since most of the current generated from the spindle motor recirculation is directly used to drive the VCM. In order to achieve the most beneficial results, the time intervals indicated in Figure 3 by  $t_0$ ,  $t_1$  and  $t_2$  are chosen such that,

1. The average of  $I_{cap} + I_{clamp}$  is positive, resulting in boosting up of the supply voltage.
2. This positive value of the average of  $I_{cap} + I_{clamp}$  is kept at a minimum to reduce the power dissipated in the clamp.
3. The region where  $I_{cap} + I_{clamp}$  is negative (capacitor supplying the VCM current) is minimum, which means that the required capacitor is smaller.

The optimum values for the time intervals will of course depend upon the actual circuit and motors upon which the system is implemented, but based upon the foregoing description suitable values for a given circuit may be easily determined experimentally.

Embodiments of the present invention may be implemented in existing forms of disk drives, for example, by operating with the standard driving circuitry and motors perhaps with the addition of a storage capacitor (28) and voltage clamp (30) where necessary. In that case, the control procedures of the preferred embodiment may be incorporated into the embedded control instructions of the disk drive or disk drive control circuitry as required. It is implicit in the foregoing description that the disk drive or the like includes means for early detection

- 9 -

of the power supply failure, so that the procedures of the present invention may be put into action at the appropriate time.

In Figure 4 a block diagram representation of a circuit according to an embodiment of the present invention is illustrated. In this diagram the spindle motor 12 is shown coupled to the spindle motor drivers 14, and the voice coil motor 20 is coupled to the VCM drivers 22, as in Figure 1. The motor drivers 14, 22 are connected to upper and lower supply rails 50, 52 respectively, and a storage capacitor and clamp circuit 42 is coupled to the upper supply rail 50. The semiconductor switching elements (16, 18 in Figure 1) of the spindle motor drivers 14 are selectively controllable to couple the terminals of the spindle motor to the upper and lower supply rails, and are controlled by way of control lines 44 which are coupled to a pulse width modulation (PWM) controller 40. Similarly, the semiconductor switching elements (24, 26 in Figure 1) of the VCM drivers 22 are selectively controllable to couple the terminals of the VCM to the upper and lower supply rails, and are controlled by way of control lines 46 which are also coupled to the PWM controller 40. The PWM controller may itself be controlled by an external microcontroller or microprocessor to generate appropriate control signals on the control lines 44, 46, as is known in the art.

During normal operation (i.e. whilst external power is applied to the supply rails 50, 52) the PWM controller applies control voltages to the control lines 44, 46 so as to switch the drivers 14 to drive the spindle motor 12 at a substantially constant speed whilst the disk drive is in use. The PWM controller, during normal operation, may also selectively apply control voltages to the control lines 46 to switch the VCM driver 22 for selectively driving the VCM to position the read/write head as required to store or retrieve data. Then, when a loss of power to the supply rails is detected, the PWM controller operates in a different mode. In accordance with the preferred form of the invention, upon detection of the external power supply the PWM controller applies control voltages to the control lines 44 and 46 so as to switch the spindle motor and VCM drivers as described hereinabove to generate and store back-emf derived electrical power. In particular, the spindle motor drivers and VCM drivers are controlled by the PWM controller to chop the connections to the supply rails in an out-of-

- 10 -

phase manner so that recirculation current generated by the spindle motor can be used directly by the VCM. The electrical power derived from the back-emf of the spinning spindle motor is thus used to drive the VCM and the PWM controller (and any other necessary circuitry) in order to park the read/write head.

5

The foregoing detailed description of the present invention is presented by way of example only, and is not intended to be considered limiting to the present invention as defined in the appended claims.

10

- 11 -

Claims:

1. A method for controlling a motorized mechanism in the event of external power loss,  
5 the motorized mechanism comprising first and second motors coupled to a common driving circuit, said first motor being arranged to rotate at a substantially constant rate with external electrical power applied to the driving circuit, wherein in the event of loss of said external electrical power to the driving circuit, the driving circuit is controlled so as to connect and disconnect the first and second motors to the driving circuit in substantially out-of-phase  
10 synchronism to enable said second motor to be driven with electrical power derived from back-emf of the rotating first motor.
2. A method as claimed in claim 1, wherein the motorized mechanism comprises a driving mechanism for a disk drive or the like, wherein the first motor is a spindle motor and  
15 the second motor is a read/write head positioning motor.
3. In a disk drive having a spindle motor for rotating a data storage disk and a head positioning motor for positioning a read/write head, the spindle motor and positioning motor being coupled to be driven from an external power source by way of a driving circuit, a  
20 method for controlling the motors in the event of loss of said external power source during rotation of the spindle motor wherein the spindle motor and positioning motor are switched on and off from the driving circuit substantially in out-of-phase synchronism to enable said positioning motor to be driven with electrical power derived from back-emf of the rotating spindle motor.
- 25
4. A method as claimed in claim 3, wherein the spindle motor is coupled to the upper and lower supply rails of the driving circuit by way of a plurality of respective upper and lower semiconductor switching elements having parallel diode elements, and wherein switching on of the spindle motor corresponds to switching of the lower switching elements  
30 to connect the spindle motor to the lower supply rail, and switching off of the spindle motor

- 12 -

allows back-emf derived from the spindle motor to generate a recirculating current through the upper switching elements to the upper supply rail.

5. A method as claimed in claim 4, wherein the positioning motor is coupled to the upper and lower supply rails of the driving circuit by pairs of upper and lower semiconductor switching elements, and wherein switching on of the positioning motor corresponds to switching on a selected one of the pairs of switching elements to connect the positioning motor to the upper and lower supply rails to drive the positioning motor with said recirculating current.

10

6. A method for controlling a disk drive having a spindle motor and a positioning motor both coupled to a driving circuit, comprising the steps of:

detecting a loss of supply power to the driving circuit;

chopping connection between the spindle motor and the driving circuit to generate an intermittent back-emf derived recirculation current; and

chopping connection between the positioning motor and driving circuit at least substantially synchronized out-of-phase with the chopping of the spindle motor connection to enable driving of the positioning motor using the recirculation current.

7. A disk drive or the like having a spindle motor for rotatably driving a spindle and/or disk, a positioning motor for positioning a read and/or write head, and a motor driving circuit coupled to controllably drive the spindle motor and positioning motor under normal operation using an external power supply, the motor driving circuit including a controller adapted to respond to loss of said external power supply by chopping connection between the driving circuit and the spindle and positioning motors respectively in a substantially synchronised out-of-phase manner to enable driving of the positioning motor with a recirculation current derived from a back-emf of the spindle motor.

8. A disk drive or the like as claimed in claim 7, wherein the driving circuit has upper and lower supply rails coupled to receive the external power supply under normal operation,

- 13 -

and wherein the driving circuit includes a storage capacitor and a voltage clamp coupled to the upper supply rail.

9. A disk drive or the like as claimed in claim 8, wherein the spindle motor is coupled  
5 to the upper and lower supply rails of the driving circuit by way of a plurality of respective upper and lower semiconductor switching elements having parallel diode elements, and wherein chopping of the spindle motor corresponds to alternately switching on and off the lower switching elements to connect the spindle motor to the lower supply rail, wherein  
10 switching off the lower switching elements allows back-emf derived from the spindle motor to generate a recirculation current through the upper switching elements to the upper supply rail.

10. A disk drive or the like as claimed in claim 8 or 9, wherein the positioning motor is coupled to the upper and lower supply rails of the driving circuit by pairs of upper and lower  
15 semiconductor switching elements, and wherein chopping of the positioning motor corresponds to switching on and off a selected one of the pairs of switching elements to connect and disconnect the positioning motor to the upper and lower supply rails to selectively drive the positioning motor with said recirculating current.

1/4

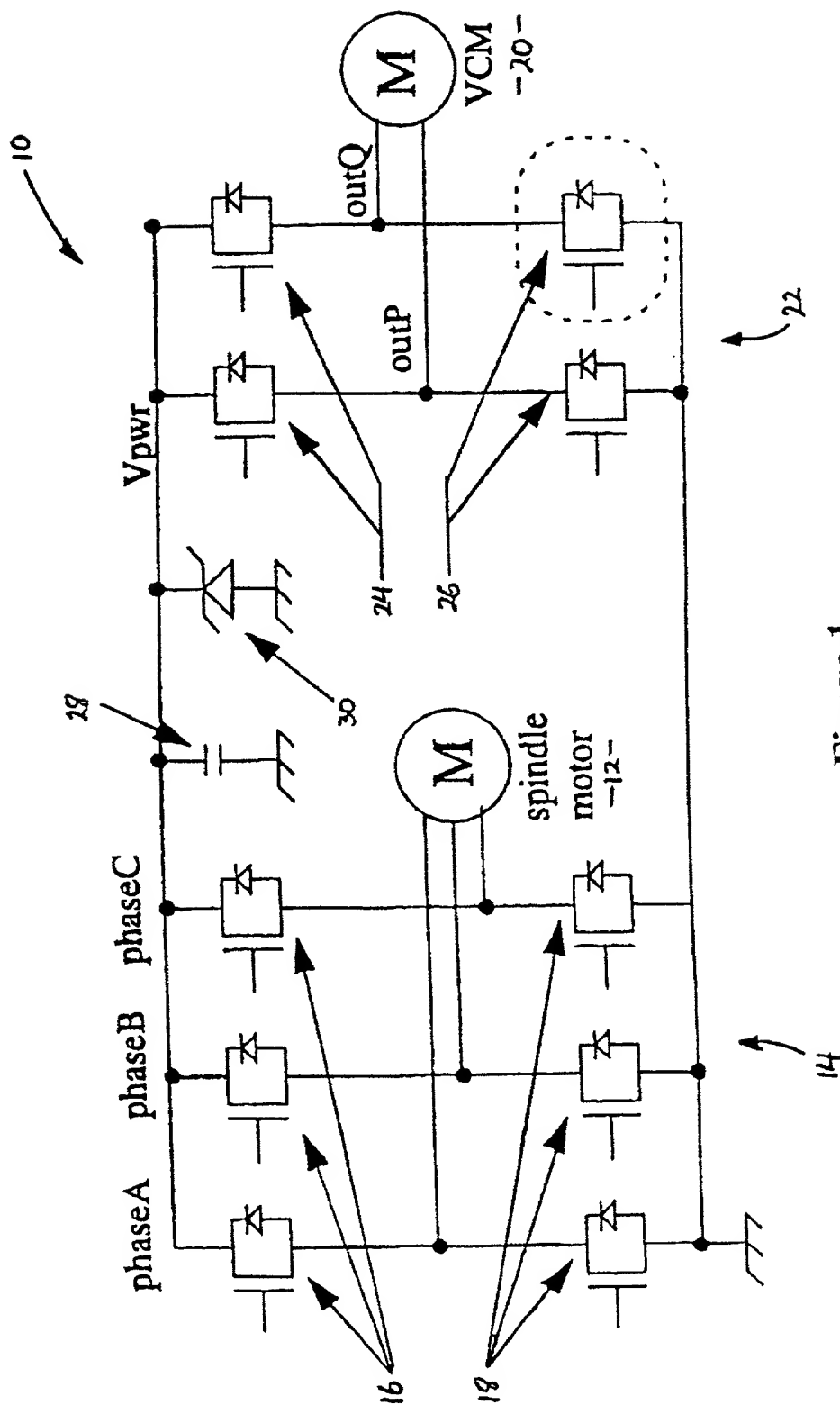


Figure 1



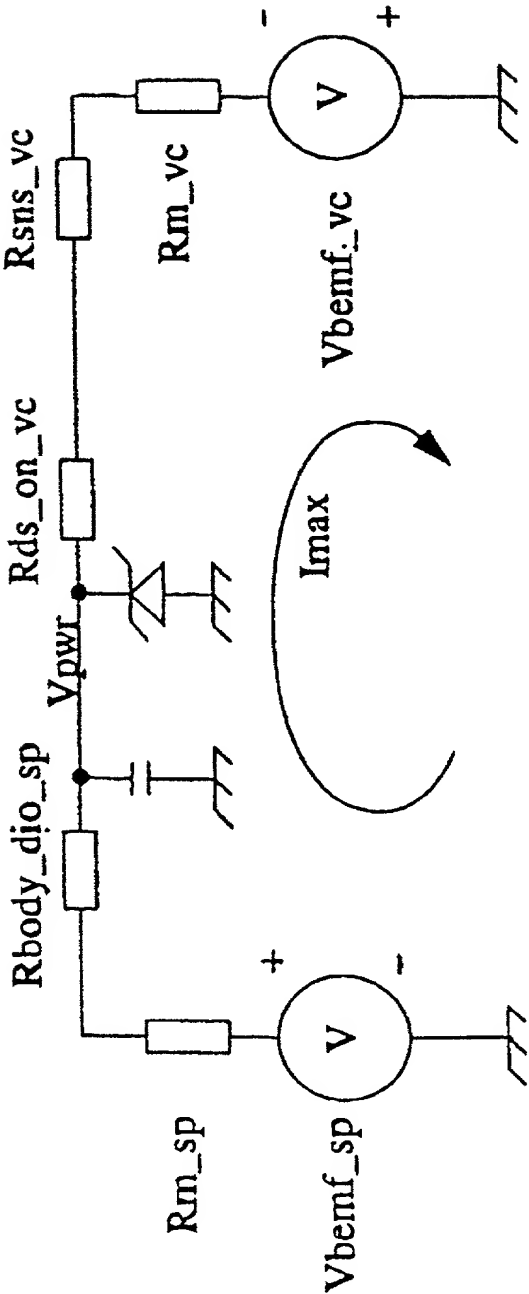
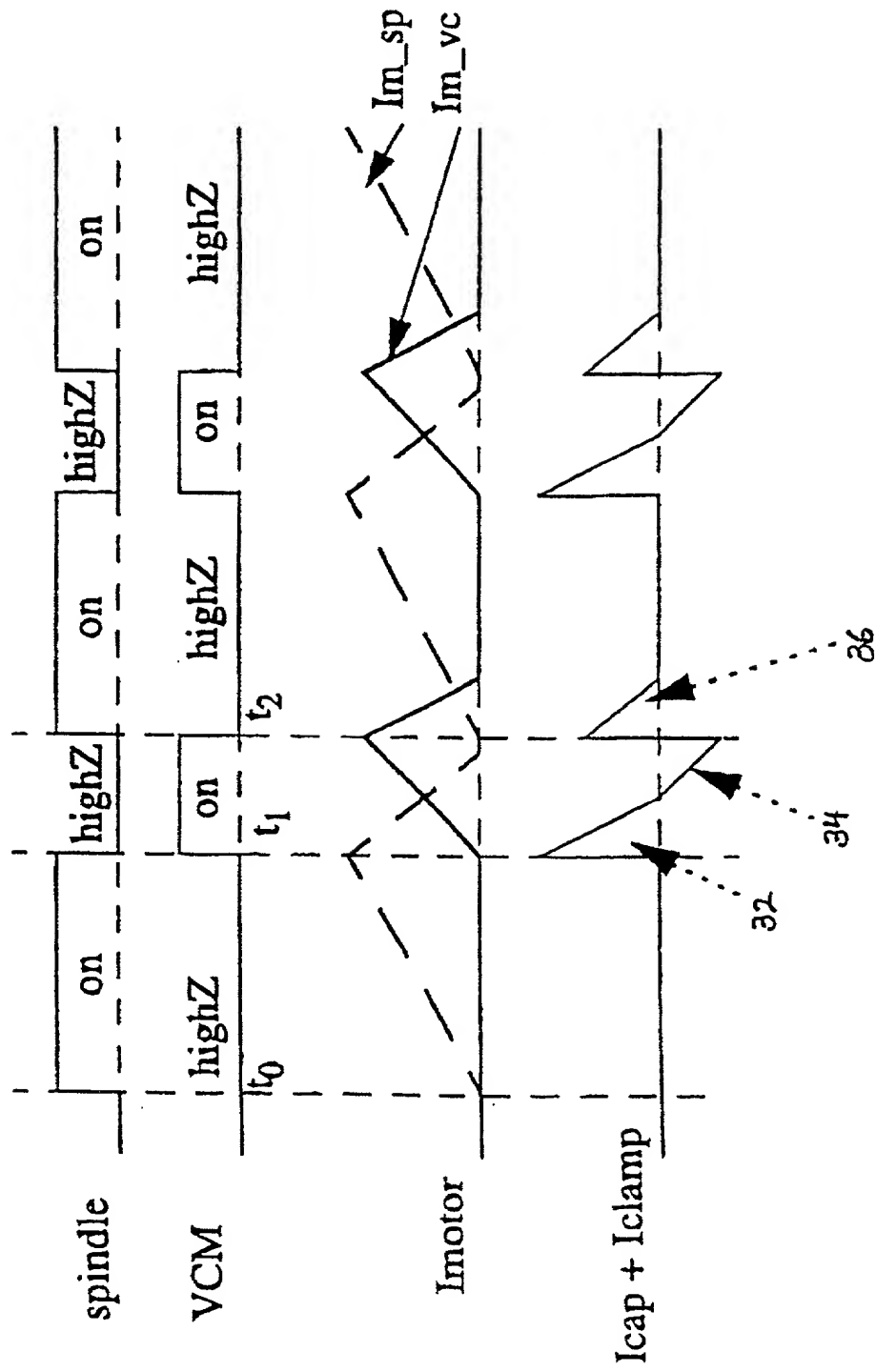
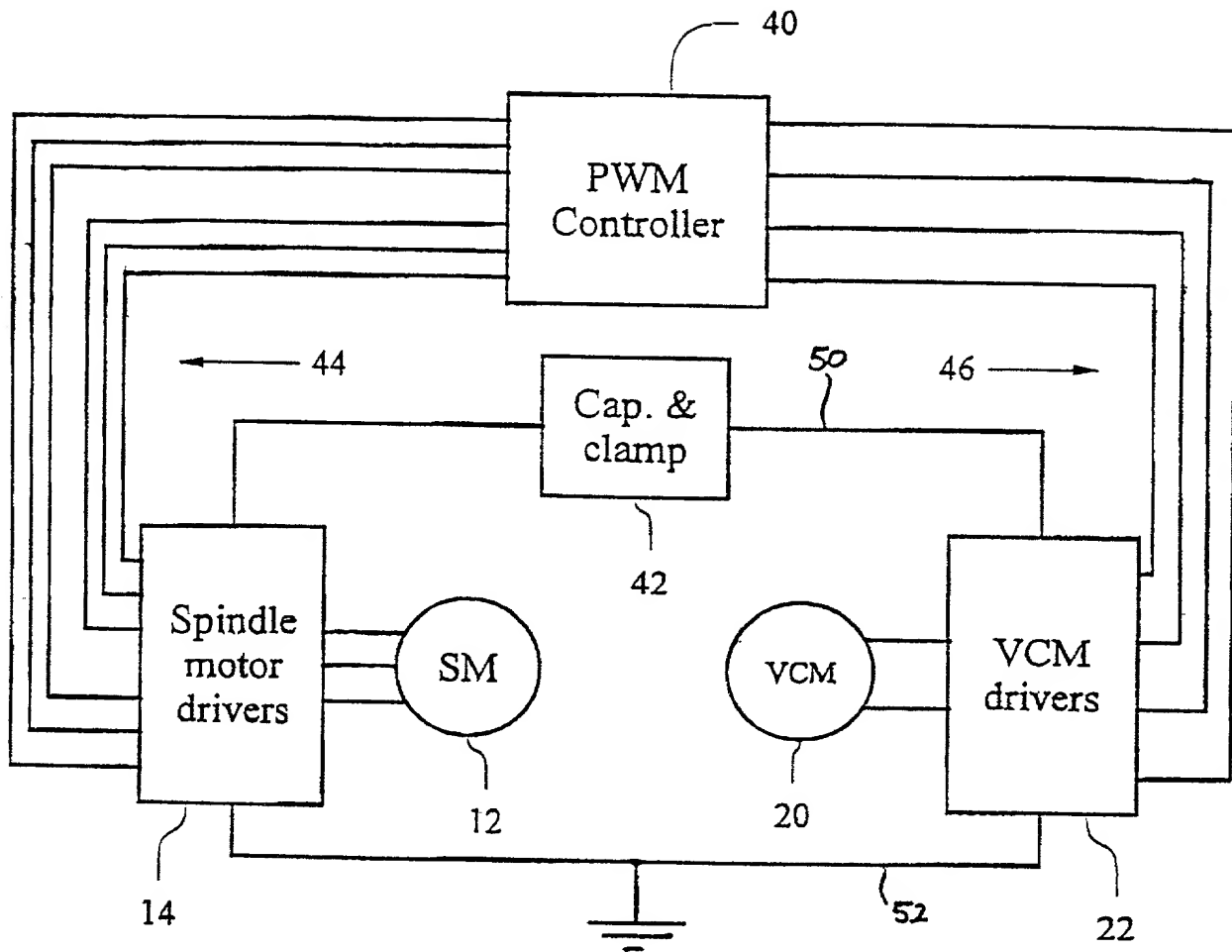


Figure 2

3/4

Figure 3

4/4

**Figure 4**

DEC-05-01

04:17PM

FROM-DONALDSON &amp; BURKINSHAW

+65-5326431

T-430

P.002/005

F-223

## DECLARATION AND POWER OF ATTORNEY

As the below-named inventors, we declare that:

Our residences, post office addresses, and citizenships are as stated below under our names.

We believe we are the original, first, and joint inventors of the invention entitled "A METHOD AND APPARATUS FOR CONTROLLING A DISK DRIVE UNDER A POWER LOSS CONDITION," which is described and claimed in the specification and claims of International Patent Application No. PCT/SG99/00013, which was filed on 25 February 1999 and for which a patent is sought.

We have reviewed and understand the contents of the foregoing specification, including the claims, as amended by any amendment specifically referred to herein (if any).

We acknowledge our duty to disclose information of which we are aware which is material to the patentability and examination of this application in accordance with 37 C.F.R. § 1.56(a).

We hereby claim foreign priority benefits under 35 U.S.C. § 119 of the foreign patent application listed below:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:			
COUNTRY	APPLICATION NUMBER	DATE OF FILING	PRIORITY CLAIMED UNDER 35 USC 119
PCT	PCT/SG99/00013	25 February 1999	Yes

I hereby appoint George C. Rondeau, Jr., Reg. No. 28,893; David H. Deits, Reg. No. 28,066; William O. Ferron, Jr., Reg. No. 30,633; Richard G. Sharkey, Reg. No. 32,629; David V. Carlson, Reg. No. 31,153; Karl R. Hermanns, Reg. No. 33,507; Michael J. Donohue, Reg. No. 35,859; Jane E. R. Potter, Reg. No. 33,332; Robert Iannucci, Reg. No. 33,514; Lorraine Linford, Reg. No. 35,939; David W. Parker, Reg. No. 37,414; E. Russell Tarleton, Reg. No. 31,800; Ellen M. Bierman, Reg. No. 38,079; Brian G. Bodine, Reg. No. 40,520; Robert M. Ward, Reg. No. 26,517; Frank Abramonte, Reg. No. 38,066; Kevin S. Costanza, Reg. No. 37,801; Stephen J. Rosenman, Reg. No. 43,058; Brian L. Johnson, Reg. No. 40,033; Susan D. Betcher, Reg. No. 43,498; William T. Christiansen, Reg. No. 44,614; Jeffrey C. Pepe, Reg. No. 46,985; Timothy L. Boller, Reg. No. 47,435; James M. Verna, Reg. No. 33,287; James A. Mesher, Reg. No. P-48,700; Mae J. Rosok, Reg. No. P-48,903; Dale R. Cook, Reg. No. 42,434; comprising the firm of Seed Intellectual Property Law Group PLLC,

DEC-05-01 04:18PM FROM-DONALDSON &amp; BURKINSHAW

+65-5326431

T-430 P.003/005 F-223

701 Fifth Avenue, Suite 6300, Seattle, Washington 98104-7092, as my attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Please direct all telephone calls to David V. Carlson, at (206) 622-4900 and telecopies to (206) 682-6031.

We further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that the making of willfully false statements and the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and may jeopardize the validity of any patent issuing from this patent application.



Ravishanker Krishnamoorthy

Date 04-Dec-01

Residence : City of Singapore  
Country of Singapore

Citizenship : Singapore

P.O. Address : Block 565, 3 Ang Mo Kio Avenue #08-3421  
Singapore 560565

SGX



Hin Sing Fong

Date 04 DEC 2001

Residence : City of Singapore  
Country of Singapore

Citizenship : Singapore

P.O. Address : Block 452, 42 Jurong West Street #05-168  
Singapore 640452

SGX

FOUO 04111660

United States Patent & Trademark Office  
Office of Initial Patent Examination -- Scanning Division



Application deficiencies found during scanning:

☐ Page(s) \_\_\_\_\_ of \_\_\_\_\_ were not present  
for scanning. (Document title)

☐ Page(s) \_\_\_\_\_ of \_\_\_\_\_ were not present  
for scanning. (Document title)

☒ **Scanned copy is best available.** Declaration pages have line  
in through them.